



Snell & Wilmer

L.L.P.
LAW OFFICES

The Arizona Center
Phoenix, Arizona 85004-2202
(602) 382-6000
Fax: (602) 382-6070
www.swlaw.com

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3738

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Thomas J. Finn (602) 382-6208
Patents, Trademarks & Copyrights
tfinn@swlaw.com

October 15, 2001

VIA EXPRESS MAIL
NO. EL608992263US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Re: US Patent Application, Breck, et al.
Title: SYSTEM FOR FACILITATING A TRANSACTION
Serial No.: 09/800,461; Confirmation No.: 3738
Filing Date: March 7, 2001
File No.: 40655.0700

Dear Sir or Madam:

Enclosed are the following documents:

1. Fee Transmittal for FY 2001;
2. Checks nos. 283987 and 283994 totaling \$170.00;
3. Return Receipt Postcard (postage prepaid);
4. Petition and Fee to Correct Inventorship in a Patent Application Pursuant to 37 C.F.R. §1.48(a);
5. Preliminary Amendment;
6. Statement of Christina Chow Pursuant to 37 C.F.R. §1.48(a);
7. Declaration and Power of Attorney for Patent Application;
8. Consent of Assignee to Correct Inventorship Pursuant to 37 C.F.R. §148(a) and Statement Pursuant to 37 C.F.R. §3.73(b);
9. Recordation Form Cover Sheet and Assignment;
10. Supplemental Information Disclosure Statement; and
11. Supplemental Information Disclosure Citation (1 backer).

Please process the enclosed. Thank you.

Sincerely,

Snell & Wilmer

Thomas J. Finn
Reg. No. 48,066

TJF:bf
Enclosures

cc: Ms. Patrice Jacobson (AMEX)

1081890

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



FEES TRANSMITTAL for FY 2001

Fees are subject to annual revision.

AMOUNT OF PAYMENT

\$170.00

Complete if Known

Application Number	09/800,461
Filing Date	March 7, 2001
First Named Inventor	Lydia Breck
Examiner Name	TBA
Group Art Unit	2161
Attorney Docket No.	40655.0700

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2814**Deposit Account Name **Snell & Wilmer** Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status. See 37 CFR § 1.27

2. Payment Enclosed:

 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Fee Fee Description	Fee Description
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non - English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	390	216	195 Extension for reply within second month
117	890	217	445 Extension for reply within third month
118	1,390	218	695 Extension for reply within fourth month
128	1,890	228	945 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,240	241	620 Petition to revive - unintentional
142	1,240	242	620 Utility issue fee (or reissue)
143	440	243	220 Design issue fee
144	600	244	300 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR § 1.17(q)
126	180	126	180 Submission of Information Disclosure Statement
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify) _____			

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Fee Fee Description	Fee Paid
101	710	201	355 Utility filing fee
106	320	206	160 Design filing fee
107	490	207	245 Plant filing fee
108	710	208	355 Reissue filing fee
114	150	214	75 Provisional filing fee
SUBTOTAL (1) \$0.00			

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims	-20** = 0	X 18.00 = 0.00
Independent Claims	-3** = 0	X 80.00 = 0.00
Multiple Dependent		= 0.00

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Fee Fee Description	
103	18	203	9 Claims in excess of 20
102	80	202	40 Independent claims in excess of 3
104	270	204	135 Multiple dependent claim, if not paid
109	80	209	40 ** Reissue independent claims over original patent
110	18	210	9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$0.00			

**or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$170.00

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SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Thomas J. Finn, Esq.	Registration No. (Attorney/Agent)	48,066	Telephone	602/382/6208
Signature			Date	October 15, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.